

Retrieve investor related unique identifiers and data points captured for ERISA and FINRA purposes , compliance data including FATCA, Form PF, AIFMD and CPO-PQR from SEI's KYC system.

TAGS: kyc, insight, aml, CRM,

ENDPOINT: Metadata

ENDPOINT DESCRIPTION:

Returns a list of Investors within a Firm or filtered to a specific Investor. An investor is a unique record for the investing entity and does not represent a multitude of relationships.

PROD URL: <https://api.seic.com/v2/investors/metadata>

TEST URL: <https://test.api.seic.com/v2/investors/metadata>

TLS URL*: <https://mtls.api.seic.com/v2/investors/metadata>

*The TLS endpoint is only used if Server Based Application was selected during the App Registration process.

STEP 1

OAuth Token

Pass the OAuth Token and the App key to invoke this endpoint for a particular application.

REQUEST PARAMETERS:

Name	Description	Data Type	Parameter Type
Authorization	access_token value obtained from the Token endpoint.This is passed as "Bearer access_token"	string	header
AppKey	This is the Consumer Key provided during the App Registration process and is used to identify the user's application.	string	header
fullName	The full legal name of the investor.	string	header
active	Designates whether the investor is active ("true") or inactive ("false").	boolean	query
alternateId	Alternate identifier. Typically used when integrating between systems.	string	query
externalId	The client specific or external identifier that represents the product, fund, contact, investor, or alternate entity recognized by SEI and a third party system or application. Many times the externalId is used to synchronize SEI sourced data with another data source for the same records.	string	query
changeStartDate	Last change start date for the investor. Required date format yyyy-MM-ddTHH:mm:ss.SSS. Can be used to target contacts modified on, since or between specified datetime. Date only can also be accepted. Datetime is Eastern Standard Time (EST).	string	query
postalCode	The postal code of the investor's legal address.	string	header

changeEndDate	Last change end date for the investor. Required date format yyyy-MM-ddTHH:mm:ss.SSS. Can be used to target contacts modified on, since or between specified datetime. Date only can also be accepted. Datetime is Eastern Standard Time (EST).	string	query
createStartDate	Created start date for the investor. Required date format yyyy-MM-ddTHH:mm:ss.SSS. Can be used to target contacts created on, since or between specified datetime. Date only can also be accepted. Datetime is Eastern Standard Time (EST).	string	query
createEndDate	Created end date for the investor. Required date format yyyy-MM-ddTHH:mm:ss.SSS. Can be used to target contacts created on, since or between specified datetime. Date only can also be accepted. Datetime is Eastern Standard Time (EST).	string	query
fundInvestorExternalId	Business identifier for the investor fund relationship recognized by a third party system or application. Many times the fund investor external ID is used to synchronize SEI sourced data with another data source for the same record. This field is not required to be unique.	string	query
taxId	Primary tax identifier for the Investor.	string	header
dob	Date of birth or date of formation in the format MM/DD/YYYY.	string	header
limit	Number of records per page. Many responses have a maximum of 50 records per page.	integer	query

SAMPLE REQUEST:

```
curl -X GET \
  https://api.seic.com/v2/investors/metadata \
  -H 'appkey: gsedgaerhDSHGRSH' \
  -H 'authorization: Bearer ADsgdnnkjnfIKJN' \
```

REQUEST HEADER:

```
Authorization: Bearer ADsgdnnkjnfIKJN
AppKey: gsedgaerhDSHGRSH
```

RESPONSE PAYLOAD MODEL:

Name	Description	Data Type(L)
externalId	Unique business identifier that represents a contact, investor, product, transaction or alternate entity recognized by SEI and a third party system or application. Many times the externalId is used to synchronize SEI sourced data with another data source for the same record.	string
alternateId	Alternate identifier. This is a secondary identifier typically used when integrating between systems. Use of this field is optional.	string (100)
fullName	The full legal name of the investor, manager or contact or any other entity represented by the object grouping of the field in the JSON response structure.	string
active	Designates whether the record is active or inactive. Typically an inactive record is no longer referenced, or expected to be referenced, on a regular basis.	string
pii	Personally identifiable information (PII) is any data that could potentially identify a specific individual. Any information that can be used to distinguish one person from another and can be used for de-anonymizing data can be considered PII.	object
taxId	Primary tax identifier for the Investor or Contact. When used in a search, is the full digits or characters, less special characters and spacing.	string
postalCode	The postal code of the address.	string
dob	Date of birth or date of formation in the format MM/DD/YYYY.	string

fundInvestorExternalId	Business identifier for the investor fund relationship recognized by a third party system or application. Many times the fund investor external ID is used to synchronize SEI sourced data with another data source for the same record. This field is not required to be unique.	string
changeDate	Latest date the entity relevant to the API was modified. Date is in ISO 8601 format YYYY-MM-DD. Datetime is Eastern Standard Time (EST).	string
createDate	Initial create timestamp for the record. Datetime is Eastern Standard Time (EST).	string
links	Embedded URLs in responses that allow for easier navigation.	array
rel	The name of a link returned by the href URL. This is immutable.	string
href	Fully configured URL to obtain more information regarding an entity. Allows for easier navigation across products. These links should not be cached.	string

RESPONSE PAYLOAD MODEL:

Name	Description	Data Type(L)
externalId	The client specific or external identifier that represents the product, fund, contact, investor, or alternate entity recognized by SEI and a third party system or application. Many times the externalId is used to synchronize SEI sourced data with another data source for the same records	
alternateId	Alternate identifier. Typically used when integrating between systems	string
fullName	Alternate identifier. Typically used when integrating between systems	string
active	Alternate identifier. Typically used when integrating between systems	string
pii	Personally identifiable information object.	boolean
taxId	The last 4 digits or characters of the primary tax identifier, less special characters and spacing are accepted as a parameter; however, the full tax id will be returned if successful.	object
postalCode	Postal code for the investor's legal address, less special characters and spacing	string
dob	Date of birth or date of formation in ISO 8601 UTC format.	string
fundInvestorExternalId	Unique business identifier for the investor product relationship	string
changeDate	Latest date the investor was modified in ISO 8601 UTC format. Datetime is Eastern Standard Time (EST).	array
createDate	Date the investor was created in ISO 8601 UTC format. Datetime is Eastern Standard Time (EST).	string
links	Links to obtain more information .	string
rel	A relation aka 'rel' is the name of the link. This is immutable	array
href	Fully configured URL to API endpoints to obtain more information regarding the contact. These links should not be cached by the application.	string
totalCount	The total number of records	string
limit	The pageSize or number of records returned per page	string
first	URL to the first page of records with respect to the API request	string
last	URL to the previous page of records with respect to the API request	string
previous	URL to the next page of records with respect to the API request	string
next	URL to the last page of records with respect to the API request	string
self	The Original Request API URL	string

RESPONSE ERRORS:

Name	Description
200	OK- The request has succeeded and the response has been returned.
400	Bad request - The server cannot or will not process the request due to an inaccurate request submitted by the client application. Please resubmit the request after making the required corrections indicated in the error response. For more Info on SEI Error Standards please refer to the API Standards FAQ under Support.
401	Unauthorized - Invalid authentication details have been provided. Also useful to trigger an authorization pop up if the API is used from a browser.
403	Forbidden-The SEI Server understood the request but refuses to fulfill it.
404	Not Found- The requested resource or the underlying resource does not exist. Please resubmit the request after making the required corrections
500	Internal Server Error - The server was unable to fulfill the request due to an unknown condition. Please contact SEI for support. For More Info please refer to the "Response & Errors" FAQ under support.

SAMPLE RESPONSE:

```
{
  "data": [{
    "externalId": "111111111",
    "alternateId": "123456789",
    "fullName": "Patel Family Trust",
    "active": true,
    "pii": {
      "taxId": "11-1111111",
      "postalCode": "19456",
      "dob": "01/16/1983"
    },
    "fundInvestorExternalId": [
      "WWCEDLP111111111"
    ],
    "changeDate": "2019-08-12T16:46:24.873",
    "createDate": "2019-01-16T16:37:00",
    "links": [{
      "rel": "string",
      "href": "string"
    }],
    "systemIdentifier": [{
      "name": "string",
      "value": "string"
    }]
  }],
  "paging": {
    "cursors": {
      "before": "MTE00TI2",
      "after": "MTE00TI2",
      "max": "MTE00TI2",
      "last": null,
      "resultCount": 1
    },
    "totalCount": 1,
    "limit": 1,
    "previous": null,
    "next": null,
    "self": "https://api.seic.com/v2/investors/metadata?firmId=9999&limit=1&externalId=111111111",
    "first": "https://api.seic.com/v2/investors/metadata?firmId=9999&externalId=111111111&limit=1",
    "last": null
  }
}
```

RESPONSE HEADER:

```
Content-Type: application/json  
Status: 200 OK  
requesttrackingid: 67e1ff68-164f-03ad-0f2d-5cbbfda56ec9
```